

FEB. 9. 2007 12:47PM

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NO. 2347 P. 1

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FEB 09 2007

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7590

11/09/2006

Scully, Scott, Murpht & Presser
400 Garden City Plaza Ste. 300
Garden City, NY 11530

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02/09/2007 FHETEK12 00000082 100750 10665236

01 FC:1501 1400.00 DA
 02 FC:1504 300.00 DA

| | |
|------------------------|--------------------|
| Stacey Ascolese | (Depositor's name) |
| <i>Stacey Ascolese</i> | (Signature) |
| 2/9/07 | (Date) |

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
|-----------------|-------------|----------------------|---------------------|------------------|

10/665,236

09/17/2003

John H. Stevens

15302ZYXWAZ

6287

TITLE OF INVENTION: MINIMALLY-INVASIVE DEVICES AND METHODS FOR TREATMENT OF CONGESTIVE HEART FAILURE

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE |
|-------------|--------------|---------------|---------------------|----------------------|------------------|----------|
|-------------|--------------|---------------|---------------------|----------------------|------------------|----------|

nonprovisional

NO

\$1400

\$300

\$0

\$1700

02/09/2007

| EXAMINER | ART UNIT | CLASS-SUBCLASS |
|----------|----------|----------------|
|----------|----------|----------------|

ISABELLA, DAVID J

3738

128-898000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
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2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
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| | |
|---|-------|
| 1 | _____ |
| 2 | _____ |
| 3 | _____ |

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

~~Ethicon, Inc.~~
Heartport, Inc.

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

~~Somerville, NJ~~
Redwood City, CA

Recordal Date: 06/10/2004

Reel/Frame: 014716/0034

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

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5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature

Brian S. Tomko

Date

2/9/07

Typed or printed name

Registration No.

41,349

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OMB 0651-0033

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